



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GP-2161  
PATENT  
**RECEIVED**  
JAN 14 2002  
Technology Center 2100

Application No.: 09/895,281  
Filing Date: June 29, 2001  
Title: SINGLE PARTY BUYING AND SELLING COMMODITIES WITH  
MULTIPLE COUNTERPARTIES  
Applicants: Louise J. Kitchen et al.  
Group Art Unit: 2161  
Atty Docket No.: ENR100/4-15

**CERTIFICATE OF MAILING**

Assistant Commissioner for Patents  
Box Non-Fee Amendment  
Washington, D.C. 20231

Dear Madam/Sir:

Date of Deposit: December 27, 2001

I hereby certify that I have a reasonable basis to expect that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed and dated as indicated above.


This correspondence includes the following enclosures:

1. Preliminary Amendment;
2. Fee Transmittal Sheet, which indicates no fee is due;
3. Supplemental Information Disclosure Statement;
4. Form PTO 1449;
5. Copy of each reference cited in Form PTO 1449; and
6. Stamped return postcard.

If additional fees are required please charge Deposit Account No. 22-0365.

Respectfully submitted,

Date: December 27, 2001

  
Stephen S. Hodgson  
Registry No. 41,075  
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# FREE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28

TOTAL AMOUNT OF PAYMENT (\$)

0

## C mplete if Known

Application Number 09/895,281  
Filing Date 06/29/2001  
First Named Inventor Louise J. Kitchen  
Examiner Name  
Group / Art Unit 2161  
Attorney Docket No. ENR100/4-15

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 22-0365

Deposit Account Name Vinson & Elkins L.L.P.

☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0

### 2. EXTRA CLAIMS FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	0	18.00	0
Independent Claims	3**	84.00	0
Multiple Dependent			0

\*\*or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	390	216	195	Extension for reply within second month
117	890	217	445	Extension for reply within third month
118	1,390	218	695	Extension for reply within fourth month
128	1,890	228	945	Extension for reply within fifth month
119	310	219	155	Notice of Appeal
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,240	241	620	Petition to revive - unintentional
142	1,240	242	620	Utility issue fee (or reissue)
143	440	243	220	Design issue fee
144	600	244	300	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	180	Petitions related to provisional applications
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

## SUBMITTED BY

Name	Stephen S. Hodgson	Registration No. (Attorney/Agent)	41,075	Telephone	713-758-2604
Signature	Steve Hodgson	Date	Dec. 27, 2001		